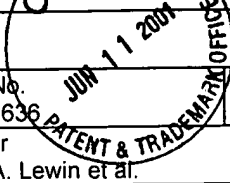


1656

\$

		TRANSMITTAL LETTER		Case No. 10716-25/Cura-60
		Serial No. 09/640,636	Filing Date August 17, 2000	Examiner Alexander H. Speigler
Inventor David A. Lewin et al.				
Title of Invention NOVEL HEMATOPOIETIC REGULATORY FACTORS AND METHODS OF USE THEREOF				

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in Duplicate); Information Disclosure Statement, PTO Form 1449;
copies of cited references A1-A8 and post card evidenceing receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

RECEIVED

JUN 14 2001

TECH CENTER 1600/2900

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity

Rate	Add'l Fee
x \$9=	
x 39=	
+\$130 =	
Total add'l fee	\$

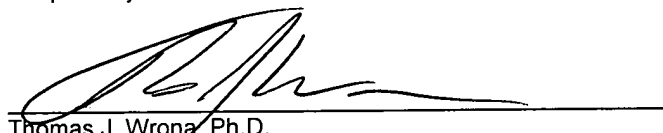
or

Other Than
Small Entity

Rate	Add'l Fee
x \$18=	
x \$78=	
+ \$260=	
total add'l fee	\$

- ☒ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$180.00. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,


 Thomas J. Wrona, Ph.D.
 Registration No. 44,410
 Agent for Applicants

BRINKS HOFER GILSON & LIONE
 P.O. BOX 10395
 CHICAGO, ILLINOIS 60610 - (312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:
 Commissioner for Patents, Washington, D.C. 20231, on June 6, 2001.

Date: 6/6/01
 rev. Dec.-99

Signature: 



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to:
Commissioner for Patents, Washington, D.C. 20231, on

June 6, 2001

Date of Deposit

Thomas J. Wrona, Ph.D., Reg. No. 44,410

Name of Applicant, Agent, Assignee or
Registered Representative

Signature

6/6/01
Date of Signature

RECEIVED

JUN 14 2001

TECH CENTER 1600/2900

Our Case No.: 10716-25/Cura-60

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David A. Lewin et al.

Serial No.: 09/640,636

Filing Date: August 17, 2000

For: NOVEL HEMATOPOIETIC
REGULATORY FACTORS AND
METHODS OF USE THEREOF

Examiner: Alexander H. Speigler

Group Art Unit No.: 1656

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

06/12/2001 CCHAU1 00000005 231925 09640636

01 FC:126

180.00 CH

The references now cited are the following:

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY
WO 98/31810	7/23/98	WIPO
WO 99/10535	3/4/99	WIPO
WO 99/10536	3/4/99	WIPO
WO 00/04926	2/3/00	WIPO

OTHER ART (including Author, Title, Date, Pertinent Pages, etc.)

Watt, Suzanne M. et al., "Cell-surface markers on haemopoietic precursors. Reagents for the isolation and analysis of progenitor cell subpopulations", Molecular and Cellular Probes, Vol. 1, 1987, pp. 297-326
Paietta, Elizabeth, "Comment. Adhesion Molecules in Acute Myeloid Leukemia", Leukemia Research, Vol. 20, No. 9, 1996, pp. 795-798
Rossi, Devora L. et al., "Lungkine, a Novel CXC Chemokine, Specifically Expressed by Lung Bronchoepithelial Cells", The Journal of Immunology, Vol. 162, 1999, pp. 5490-5497
Search Report from PCT/US22604 mailed March 2, 2001

In accordance with 37 C.F.R. § 1.97(g),(h), this information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

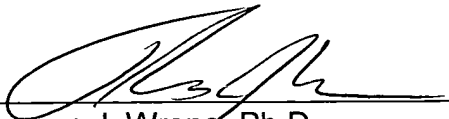
Applicants also submit for the Examiner's consideration a copy of a Partial Search Report issued by the PCT Office on March 3, 2001 for co-pending Patent Cooperation Treaty application No. PCT/US00/22604, filed on August 18, 2000, and commonly assigned to the assignee of the present application, CuraGen Corporation.

Pursuant to 37 C.F.R. § 1.97(c)(2), a fee as set forth in 37 C.F.R. § 1.17(p) in the amount of \$180.00 is due. The Commissioner is hereby authorized to debit said fee from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. Should any additional

fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is also hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



Thomas J. Wrona. Ph.D.
Registration No. 44,410
Agent for Applicants

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-4200